



**Section 4 – Joint Applicants (You may apply with up to 3 joint applicants)**

**Second Applicant**

Title						Date of Birth	D	D		M	M		Y	Y
Surname														
Full Name(s)														
House Number						Post Code								

**Third Applicant**

Title						Date of Birth	D	D		M	M		Y	Y
Surname														
Full Name(s)														
House Number						Post Code								

**Fourth Applicant**

Title						Date of Birth	D	D		M	M		Y	Y
Surname														
Full Name(s)														
House Number						Post Code								

**Section 5 – CREST**

If you would like your C Shares to be credited to your CREST account please provide details below. The CREST account must be in same name(s) as the Applicant Details provided in Sections 2, 3 or 4 above.

Participant ID						Member Account ID								
----------------	--	--	--	--	--	-------------------	--	--	--	--	--	--	--	--

Name of contact at CREST Custodian	
Telephone number of contact	
Email of contact	

**Section 6 – Signature**

By signing below you are deemed to have read the Prospectus and agreed to the terms and conditions in Part X of the Prospectus (Terms and Conditions of Application under the Offer for Subscription) and to have given the warranties and undertakings set out therein.

**Execution by Individuals:**

First Applicant Signature		Date	
Second Applicant Signature		Date	
Third Applicant Signature		Date	
Fourth Applicant Signature		Date	

**Execution by a Company:**

Executed by: (Name of Company)			
Name of Authorised signatory:		Name of Authorised signatory:	
Position of Authority:		Position of Authority:	
Signature:		Signature:	
Date:		Date:	

*A corporation should sign under the hand of a duly authorised official whose representative capacity should be stated and a copy of a notice issued by the corporation authorising such person to sign should accompany the Application Form*

**Section 7 – MUST BE COMPLETED BY AUTHORISED FINANCIAL INTERMEDIARIES ONLY**

By completing and stamping Section 7 below you are deemed to have read the Prospectus and agreed to the terms and conditions in Part X of the Prospectus (Terms and Conditions of Application under the Offer for Subscription).

AUTHORISED FINANCIAL	Name of Firm	
	FCA Number	
	Signature	
	Name	
	Position	
	Date	
	Telephone No	
Email Address		

## **NOTES ON HOW TO COMPLETE THE APPLICATION FORM**

It is essential that you complete all parts of the Application Form in accordance with the following instructions.

### **Application and Amount Payable**

Insert in Section 1 the number of C Shares you wish to apply for in Chelverton Small Companies Dividend Trust PLC. You must also insert your total payment. Your cheque or banker's draft should be for an amount that represents 100 pence multiplied by the number of C Shares for which you are applying.

Your application must be for a minimum of 1,000 C Shares.

### **Payment**

Payments must be made by cheque or banker's draft in sterling drawn on a branch in the United Kingdom of a bank or building society. Cheques, which must be drawn on the personal account of the individual investor where they have sole or joint title to the funds, should be made payable to "Share Registrars Receiving Agent Account". Third party cheques may not be accepted with the exception of building society cheques or banker's drafts where the building society or bank has inserted details of the name of the account holder and have either added the building society or bank branch stamp or have provided a supporting letter confirming the source of funds. The name of the account holder should be the same as the name of the Shareholder shown on the Application Form. Post-dated cheques will not be accepted.

### **Money Laundering Regulations**

To ensure compliance with the Money Laundering Regulations, Share Registrars may, at their absolute discretion, check the identity of the person by whom or on whose behalf an Application Form is lodged with payment, in excess of the sterling equivalent of €15,000 (approximately £13,000) of C Shares.

Share Registrars may therefore undertake electronic searches for the purposes of verifying identity. To do so Share Registrars may verify the details against the applicant's identity, but also may request further proof of identity. Share Registrars reserves the right to withhold any entitlement (including any refund cheque) until such verification of identity is completed to its satisfaction.

If an application is made by a UK regulated broker or intermediary acting as agent and which is itself subject to the Money Laundering Regulations, any verification of identity requirements are the responsibility of such broker or intermediary and not Share Registrars. In such case, the lodging agent's stamp should be inserted on the Application Form. The person lodging the Application Form with payment (the 'applicant'), including any person who appears to Share Registrars to be acting on behalf of some other person, shall thereby be deemed to agree to provide Share Registrars and/or the Company with such information and other evidence as Share Registrars may require to satisfy the verification of identity requirements.

Submission of an Application Form will constitute a warranty that the Money Laundering Regulations will not be breached by the acceptance of the remittance and an undertaking by the applicant to provide promptly to Share Registrars such information as may be specified by Share Registrars and/or the Company as being required for the purpose of the Money Laundering Regulations.

If Share Registrars and/or the Company determines that the verification of identity requirements apply to any applicant or application, the relevant New Ordinary Shares (notwithstanding any other term of the Offer for Subscription) will not be issued to the relevant applicant unless and until the verification of identity requirements have been satisfied in respect of that applicant or application. Share Registrars is entitled, in its absolute discretion, to determine whether the verification of identity requirements apply to any applicant or application and whether such requirements have been satisfied, and none of Share Registrars, nor the Company will be liable to any person for any loss or damage suffered or incurred (or alleged), directly or indirectly, as a result of the exercise of such discretion.

If the verification of identity requirements apply, failure to provide the necessary evidence of identity within a reasonable time may result in delays and potential rejection of an application. If, within a reasonable period of time following a request for verification of identity, Share Registrars has not received evidence satisfactory to it as aforesaid, the Company may, in its absolute discretion, treat the relevant application as invalid, in which event the application monies will be returned (at the applicant's risk) without interest to the account of the bank or building society on which the relevant cheque or banker's draft was drawn, or, as applicable, the relevant account of the bank or building society from which the relevant funds were debited.

### **Applicant Details**

Insert your title, full name, address with post code, date of birth, daytime telephone number and e-mail address in BLOCK CAPITALS in black ink in Section 2. Applications can only be made by persons over the age of 18.

### **Corporate Details**

A corporate body wishing to apply for C Shares should insert the company name, address, daytime telephone number, their e-mail address and the company registered number in BLOCK CAPITALS and in black ink in Section 3.

### **Joint Applicants**

You may apply with up to three joint applicants. Joint applicants should insert their title, full name, date of birth, house number and post code in Section 4 in BLOCK CAPITALS and in black ink.

### **CREST**

If you would like to receive your C Shares in uncertificated form please insert your Participant ID and Member Account ID number in Section 5. The CREST account must be in same name(s) as the Applicant(s) details provided in Section(s) 2, 3 or 4 above. If you are not a CREST Participant or CREST Sponsored Member you should leave Section 5 blank and you will automatically receive a share certificate for your C Shares.

### **Signature**

By signing the Application Form you are deemed to have read the Prospectus and agreed to the terms and conditions in Part X of the Prospectus (Terms and Conditions of Application under the Offer for Subscription) and to have given the warranties and undertakings set out therein.

### ***Execution by Individuals:***

Please sign and date Section 6. All applicants must sign. The Application Form may only be signed by someone other than the Applicant(s) named in Section(s) 2, 3 or 4 if duly authorised to do so. In such cases the original Power of Attorney (or other relevant legal document) or duly certified copy thereof must be enclosed for inspection.

### ***Execution by a Company:***

A corporation should sign under the hand of a duly authorised official whose representative capacity should be stated and a copy of a notice issued by the corporation authorising such person to sign should accompany the Application Form.

PLEASE AFFIX YOUR CHEQUE OR BANKER'S DRAFT TO THE BOTTOM OF THE APPLICATION FORM.

If you have any questions relating to the Offer for Subscription or completion and return of your Application Form, please contact the Share Registrars Helpline on 01252 821390 (from inside the UK) or +44 1252 821390 (if calling from outside the UK). The Helpline is open from 9 a.m. to 5.30 p.m. (UK time) Monday to Friday (excluding English and Welsh public holidays). Calls to the Helpline from outside the UK will be charged at the applicable international rate. Please note that calls may be recorded and randomly monitored for security and training purposes. Please note that for legal reasons, the Helpline cannot provide advice on the merits of the Offer for Subscription nor give financial, tax, investment or legal advice.